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# PRIMARY SINGULARITIES OF VECTOR FIELDS ON SURFACES

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**ABSTRACT.** Unless another thing is stated one works in the  $C^\infty$  category and manifolds have empty boundary. Let  $X$  and  $Y$  be vector fields on a manifold  $M$ . We say that  $Y$  tracks  $X$  if  $[Y, X] = fX$  for some continuous function  $f: M \rightarrow \mathbb{R}$ . A subset  $K$  of the zero set  $Z(X)$  is an essential block for  $X$  if it is non-empty, compact, open in  $Z(X)$  and its Poincaré-Hopf index does not vanishes. One says that  $X$  is non-flat at  $p$  if its  $\infty$ -jet at  $p$  is non-trivial. A point  $p$  of  $Z(X)$  is called a primary singularity of  $X$  if any vector field defined about  $p$  and tracking  $X$  vanishes at  $p$ . This is our main result: Consider an essential block  $K$  of a vector field  $X$  defined on a surface  $M$ . Assume that  $X$  is non-flat at every point of  $K$ . Then  $K$  contains a primary singularity of  $X$ . As a consequence, if  $M$  is a compact surface with non-zero characteristic and  $X$  is nowhere flat, then there exists a primary singularity of  $X$ .

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## 1. INTRODUCTION

Whether a family of vector fields has a common singularity is a classical issue in dynamical systems. For instance, on a compact surface with

non-vanishing Euler characteristic there always exists a common zero provided that the vector fields commute (Lima [9]) or if they span a finite-dimensional nilpotent Lie algebra (Plante [10]). On the existence of a common singularity for a family of commuting vector fields in dimension  $\geq 3$  several interesting results are due to Bonatti [2] (analytic in dimension 3 and 4) and Bonatti & De Santiago [3] (dimension 3). For a complementary discussion on the existence of a common zero the reader is referred to the introduction of [6].

In this paper one shows that on surfaces every essential block of a nowhere flat vector field  $X$  includes a point at which all vector fields tracking  $X$  vanish (see Theorem 1.1 below).

Throughout this work manifolds (without boundary) and their associated objects are real  $C^\infty$  unless another thing is stated. Consider a tensor  $\mathcal{T}$  on a manifold  $P$ . Given  $p \in P$  the *principal part* of  $\mathcal{T}$  at  $p$  means  $j_p^n \mathcal{T}$  if  $j_p^{n-1} \mathcal{T} = 0$  but  $j_p^n \mathcal{T} \neq 0$ , or zero if  $j_p^\infty \mathcal{T} = 0$ . The *order* of  $\mathcal{T}$  at  $p$  is  $n$  in the first case and  $\infty$  in the second one. One will say that  $\mathcal{T}$  is *flat at  $p$*  if its order at this point equals  $\infty$ , and *non-flat* otherwise.

In coordinates about  $p$  the principal part is identified to the first significant term of the Taylor expansion of  $\mathcal{T}$  at  $p$ . Given a function  $f$  such that  $f(p) \neq 0$ , the principal part of  $f\mathcal{T}$  at  $p$  equals that of  $\mathcal{T}$  multiplied by  $f(p)$ .

$Z(\mathcal{T})$  denotes the set of zeros of  $\mathcal{T}$  and  $Z_n(\mathcal{T})$ , where  $n \in \mathbb{N}'$  and  $\mathbb{N}' := \mathbb{N} \cup \{\infty\}$ , the set of zeros of order  $n$ . (Here  $\mathbb{N}$  is the set of positive integers.) Notice that  $Z(\mathcal{T}) = \bigcup_{n \in \mathbb{N}'} Z_n(\mathcal{T})$  where the union is disjoint.

Consider a vector field  $Y$  on  $P$ .  $Y$  *tracks*  $\mathcal{T}$  provided  $L_Y \mathcal{T} = f\mathcal{T}$  for some continuous function  $f: P \rightarrow \mathbb{R}$ , referred to as the *tracking function*. (When  $\mathcal{T}$  is also a vector field this means  $[Y, \mathcal{T}] = f\mathcal{T}$ .) A set  $\mathcal{A}$  of vector fields on  $P$  tracks  $\mathcal{T}$  provided each element of  $\mathcal{A}$  tracks  $\mathcal{T}$ .

A point  $p \in Z(\mathcal{T})$  is a *primary singularity* of  $\mathcal{T}$  if every vector field defined about  $p$  that tracks  $\mathcal{T}$  vanishes at  $p$ . Obviously isolated singularities are primary. The notion of primary singularity is the fundamental new concept of this work.

Let  $X$  be a vector field on  $P$ . Consider an open set  $U$  of  $P$  with compact closure  $\overline{U}$  such that  $Z(X) \cap (\overline{U} \setminus U) = \emptyset$ . The *index* of  $X$  on  $U$ , denoted by  $i(X, U) \in \mathbb{Z}$ , is defined as the Poincaré-Hopf index of any sufficiently close approximation  $X'$  to  $X|_{\overline{U}}$  (in the compact open topology) such that  $Z(X')$  is finite. Equivalently:  $i(X, U)$  is the intersection number of  $X|_U$  with the zero section of the tangent bundle (BONATTI [2]). This number is independent of the approximation, and is stable under perturbation of  $X$  and replacement of  $U$  by smaller open sets containing  $Z(X) \cap U$ .

A compact set  $K \subset Z(X)$  is a *block* of zeros for  $X$  (or an *X-block*) provided  $K$  is non-empty and relatively open in  $Z(X)$ , that is to say provided  $K$  is non-empty and  $Z(X) \setminus K$  is closed in  $P$ . Observe that a non-empty compact  $K \subset Z(X)$  is a *X-block* if and only if it has a precompact open neighborhood  $U \subset P$ , called *isolating* for  $(X, K)$ , such that  $Z(X) \cap \overline{U} = K$  (manifolds are normal spaces). This implies  $i(X, U)$  is determined by  $X$  and  $K$ , and does not depend on the choice of  $U$ . The *index of  $X$  at  $K$*  is  $i_K(X) := i(X, U)$ . The *X-block*  $K$  is *essential* provided  $i_K(X) \neq 0$ , which implies  $K \neq \emptyset$ , and *inessential* otherwise.

If  $P$  is compact, it is isolating for every vector field on  $P$  and its set of zeros. Therefore, in this case,  $i_{Z(X)}(X) = i(X, P) = \chi(P)$ .

This is our main result, which will be proved in the Section 2.1.

**Theorem 1.1.** *Consider an essential block  $K$  of a vector field  $X$  defined on a surface  $M$ . Assume that  $X$  is non-flat at every point of  $K$ . Then  $K$  contains a primary singularity of  $X$ .*

As a straightforward consequence:

**Corollary 1.2.** *On a compact connected surface  $M$  with  $\chi(M) \neq 0$  consider a vector field  $X$ . Assume that  $X$  is nowhere flat. Then there exists a primary singularity of  $X$ .*

Moreover, four examples illustrating these results are given in Section 3.

**Remark 1.3.**

(a) The hypothesis on the non-flatness of Theorem 1.1 and Corollary 1.2 cannot be omitted as the following example shows. On  $S^2 \subset \mathbb{R}^3$  consider the vector field  $X = \varphi(x_3)(-x_2\partial/\partial x_1 + x_1\partial/\partial x_2)$  where  $\varphi(0) = 1$  and  $\varphi(\mathbb{R} \setminus (-1/2, 1/2)) = 0$ . Then the vector fields  $Y = -x_2\partial/\partial x_1 + x_1\partial/\partial x_2$  and  $V = \psi(x_3)(-x_3\partial/\partial x_1 + x_1\partial/\partial x_3)$  where  $\psi(1) = \psi(-1) = 1$  and  $\psi([-3/4, 3/4]) = 0$  track  $X$  and  $Z(Y) \cap Z(V) = \emptyset$ . Therefore  $X$  has no primary singularity.

(b) Two particular cases of Theorem 1.1 were already known, namely: if  $X$  and  $K$  are as in the foregoing theorem and  $\mathcal{G}$  is a finite-dimensional Lie algebra of vector fields on  $M$  that tracks  $X$ , then the elements of  $\mathcal{G}$  have a common singularity in  $K$  provided that  $\mathcal{G}$  is supersolvable (Theorem 1.4 of [5]) or  $\mathcal{G}$  and  $X$  are analytic (real case of Theorem 1.1 of [6]). Thus these two results are generalized here.

For general questions on Differential Geometry readers are referred to [8], and for those on Differential Topology to [4].

## 2. OTHER RESULTS

One will need:

**Lemma 2.1.** *On a manifold  $P$  of dimension  $m \geq 1$  consider a vector field  $X$  of finite order  $n \geq 1$  at a point  $p$ . Then for almost every  $v \in T_p P$  there exists a vector field  $U$  defined around  $p$  such that  $U(p) = v$  and the  $n$ -times iterated bracket  $[U, [U, \dots [U, X] \dots]]$  does not vanish at  $p$ .*

*Proof.* It suffices to prove the result for  $0 \in \mathbb{R}^m$  and a non-vanishing  $n$ -homogeneous polynomial vector field  $X = \sum_{\ell=1}^m Q_\ell \partial/\partial x_\ell$ . Up to a change of the order of the coordinates, we may suppose  $Q_1 \neq 0$ .

Given  $a = (a_1, \dots, a_m) \in \mathbb{R}^m$  set  $U_a := \sum_{\ell=1}^m a_\ell \partial/\partial x_\ell$ . It suffices to show that for almost any  $a \in \mathbb{R}^m - \{0\}$  one has  $(U_a \cdots U_a \cdot Q_1)(0) \neq 0$ , which is equivalent to show that the restriction of  $Q_1$  to the vector line spanned by  $a$  does not vanish identically. But this last assertion is obvious.  $\square$

Given a vector field  $V$  on a manifold  $P$ , a set  $S \subset P$  is  $V$ -invariant if it contains the orbits under  $V$  of its points.

**Proposition 2.2.** *Consider two vector fields  $X, Y$  on a surface  $M$ . Assume that  $Y$  tracks  $X$  with tracking function  $f$ . Then each set  $Z_n(X)$ ,  $n \in \mathbb{N}'$ , is  $Y$ -invariant.*

*Moreover  $f$  is differentiable on the open set*

$$[M \setminus Z(X)] \cup [(Z(X) \setminus Z_\infty(X)) \cap (M \setminus Z(Y))].$$

This result is a consequence of the following two lemmas.

**Lemma 2.3.** *Under the hypotheses of Proposition 2.2 consider  $p \in Z_n(X)$ ,  $n < \infty$ , such that  $Y(p) \neq 0$ . One has:*

- (a)  *$f$  is differentiable around  $p$ .*
- (b) *Let  $\gamma: (a, b) \rightarrow M$  be an integral curve of  $Y$  with  $\gamma(t_0) = p$  for some  $t_0 \in (a, b)$ . Then there exists  $\varepsilon > 0$  such that  $\gamma(t_0 - \varepsilon, t_0 + \varepsilon) \subset Z_n(X)$ .*

*Proof.* Around  $p$  consider a vector field  $U$  as in Lemma 2.1 such that  $U(p), Y(p)$  are linearly independent. Then there are coordinates  $(x_1, x_2)$  about  $p \equiv 0$ , whose domain  $D$  can be identified to a product of two open intervals  $J_1 \times J_2$ , such that  $Y = \partial/\partial x_1$  and  $U = \partial/\partial x_2 + x_1 V$ .

Let  $X = g_1 \partial/\partial x_1 + g_2 \partial/\partial x_2$ . Then

$$\frac{\partial g_k}{\partial x_1} = f g_k, \quad k = 1, 2.$$

Since  $f$  is continuous the general solution to the equation above is:

$$g_k(x) = h_k(x_2) e^\varphi, \quad k = 1, 2,$$

where  $\partial\varphi/\partial x_1 = f$  and  $\varphi(\{0\} \times J_2) = 0$ .

From the Taylor expansion at  $p$  of  $X$  and  $U$  it follows that

$$[U, [U, \dots [U, X] \dots]](0) = \left[ \frac{\partial}{\partial x_2}, \left[ \frac{\partial}{\partial x_2}, \dots \left[ \frac{\partial}{\partial x_2}, X \right] \dots \right] \right](0)$$

for the  $n$ -times iterated bracket.

Note that

$$\left[ \frac{\partial}{\partial x_2}, \left[ \frac{\partial}{\partial x_2}, \dots \left[ \frac{\partial}{\partial x_2}, X \right] \dots \right] \right](0) = \frac{\partial^n g_1}{\partial x_2^n}(0) \frac{\partial}{\partial x_1} + \frac{\partial^n g_2}{\partial x_2^n}(0) \frac{\partial}{\partial x_2}.$$

Since on  $\{0\} \times J_2$  each  $g_k = h_k$  finally one has

$$\frac{\partial^n h_1}{\partial x_2^n}(0) \frac{\partial}{\partial x_1} + \frac{\partial^n h_2}{\partial x_2^n}(0) \frac{\partial}{\partial x_2} = [U, [U, \dots [U, X] \dots]](0) \neq 0,$$

which implies the existence of two differentiable functions  $\tilde{h}_1(x_2)$  and  $\tilde{h}_2(x_2)$  such that  $h_k = x_2^n \tilde{h}_k(x_2)$ ,  $k = 1, 2$ , and  $\tilde{h}_1^2(0) + \tilde{h}_2^2(0) > 0$ .

Therefore by shrinking  $D$  if necessary, we may suppose that at least one of these function, say  $\tilde{h}_\ell$ , does not have any zero. Observe that  $f$  will be differentiable if  $\tilde{h}_\ell e^\varphi$  is differentiable because  $\tilde{h}_\ell$  is differentiable without zeros and  $\partial\varphi/\partial x_1 = f$ .

As  $g_\ell = x_2^n \cdot (\tilde{h}_\ell e^\varphi)$ , it follows that  $g_\ell$  is divisible by  $1, x_2, \dots, x_2^n$  and the respective quotient functions are at least continuous. Moreover  $g_\ell/x^r$ ,  $r = 1, \dots, n-1$ , vanish if  $x_2 = 0$ , that is to say on  $J_1 \times \{0\}$ .

The Taylor expansion of  $g_\ell$  transversely to  $J_1 \times \{0\}$  leads

$$g_\ell = \sum_{r=0}^{n-1} x_2^r \mu_r(x_1) + x_2^n \mu_n(x_1, x_2)$$

where each  $\mu_k$ ,  $k = 1, \dots, n$  is differentiable.

Now since  $g_\ell(J_1 \times \{0\}) = 0$  one has  $\mu_0 = 0$ .

In turn as  $g_\ell/x_2$  equals zero on  $J_1 \times \{0\}$  it follows  $\mu_1 = 0$ , and so on. Hence  $\mu_0 = \dots = \mu_{n-1} = 0$ , which implies  $g_\ell = x_2^n \mu_n(x_1, x_2)$ . Therefore  $\tilde{h}_\ell e^\varphi = \mu_n$  is differentiable, which proves (a).

On the other hand, as  $e^\varphi$  is differentiable and positive,  $X$  and

$$X' := e^{-\varphi} X = x_2^n \left( \tilde{h}_1 \frac{\partial}{\partial x_1} + \tilde{h}_2 \frac{\partial}{\partial x_2} \right)$$

have the same order everywhere. Thus  $X$  has order  $n$  at every point of  $J_1 \times \{0\}$  and (b) becomes obvious.  $\square$

**Lemma 2.4.** *Under the hypotheses of Proposition 2.2 consider  $p \in Z_\infty(X)$  with  $Y(p) \neq 0$ . Let  $\gamma: (a, b) \rightarrow M$  be an integral curve of  $Y$  passing through  $p$  for some  $t_0 \in (a, b)$ . Then there exists  $\varepsilon > 0$  such that  $\gamma(t_0 - \varepsilon, t_0 + \varepsilon) \subset Z_\infty(X)$ .*

*Proof.* Around  $p \equiv 0$  consider coordinates  $(y_1, y_2)$ , whose domain  $E$  can be identified to a product of two open intervals  $K_1 \times K_2$ , such that  $Y = \partial/\partial y_1$  and  $X = a_1(y_2)e^\rho \partial/\partial y_1 + a_2(y_2)e^\rho \partial/\partial y_2$  where  $\partial\rho/\partial y_1 = f$  and  $\rho(\{0\} \times K_2) = 0$ . These coordinates exist by the same reason as in the proof of Lemma 2.3.

Assume the existence of a  $q \in K_1 \times \{0\}$  of finite order  $n$ .

Since  $p \in Z_\infty$  and  $e^\rho$  equals 1 on  $\{0\} \times K_2$ , it follows that  $j_0^\infty a_1 = j_0^\infty a_2 = 0$ . Therefore  $a_k(y_2) = y_2^{n+1} b_k(y_2)$ ,  $k = 1, 2$ , where each  $b_k$  is differentiable. Hence there exists a continuous vector field  $X_n$  such that  $X = y_2^{n+1} X_n$ ; that is to say  $X$  is continuously divisible by  $y_2^{n+1}$ .

In turn one can find coordinates  $(x_1, x_2)$  around  $q \equiv 0$  whose domain  $D$  can be identify to  $J_1 \times J_2$  as in the proof of Lemma 2.3, which implies that

$$X = x_2^n e^\varphi \left( \tilde{h}_1(x_2) \frac{\partial}{\partial x_1} + \tilde{h}_2(x_2) \frac{\partial}{\partial x_2} \right)$$

where  $\tilde{h}_1 \partial/\partial x_1 + \tilde{h}_2 \partial/\partial x_2$  has no zero on  $D$ .

By shrinking  $D$  if necessary, we may suppose  $D \subset E$ . Then, regarded both sets in  $M$ ,  $J_1 \times \{0\}$  is a subset of  $K_1 \times \{0\}$  since they are traces of integral curves of  $Y$  with  $q$  as common point.

On the other hand as  $y_2$  vanishes on  $K_1 \times \{0\}$  but its derivative never does, on  $D$  one has  $y_2 = x_2 c(x_1, x_2)$  where  $c$  has no zero. This fact implies that  $X$  on  $D$  is continuously divisible by  $x_2^{n+1}$  because it was continuously divisible by  $y_2^{n+1}$ .

But clearly from the expression of  $X$  in coordinates  $(x_1, x_2)$  it follows the non-divisibility by  $x_2^{n+1}$ , contradiction. In short the order of  $X$  at each point of  $K_1 \times \{0\}$  is infinite.  $\square$



**Remark 2.5.** Under the hypotheses of Proposition 2.2 the tracking function  $f$  can be not differentiable around a flat point. For instance, on  $\mathbb{R}^2$  set  $Y = x_1^4 \partial/x_1 + \partial/\partial x_2$  and  $X = g(x_1) \partial/x_1$ , where  $g(x_1) = e^{-1/x_1}$  if  $x_1 > 0$ ,  $g(x_1) = e^{-1/x_1^2}$  if  $x_1 < 0$  and  $g(0) = 0$ . Then  $f(x) = x_1^2 - 4x_1^3$  if  $x_1 > 0$ ,  $f(x) = 2x_1 - 4x_1^3$  if  $x_1 < 0$  and  $f(\{0\} \times \mathbb{R}) = 0$ , which is not differentiable on  $\{0\} \times \mathbb{R}$ .

*Proof of Proposition 2.2.* Let us prove the first assertion. Consider a non-constant integral curve of  $Y$  (the constant case is clear)  $\gamma: (a, b) \rightarrow M$ . By Lemmas 2.3 and 2.4,  $\gamma^{-1}(Z(X))$  is open in  $(a, b)$ . As this set is closed too one has  $\gamma^{-1}(Z(X)) = \emptyset$  or  $\gamma^{-1}(Z(X)) = (a, b)$ . The first case is obvious; in the second one  $(a, b) = \bigcup_{n \in \mathbb{N}'} \gamma^{-1}(Z_n(X))$  where each term of this union is open. Therefore a single term of this disjoint union is non-empty since  $(a, b)$  is connected.

For the second assertion apply (a) of Lemma 2.3 taking into account that  $f$  is always differentiable on  $M \setminus Z(X)$  because, on this set, the quotient  $[Y, X]/X$  has a meaning.  $\square$

**Proposition 2.6.** *On a surface  $M$  consider a vector field  $X$  such that  $Z(X) \neq \emptyset$  but  $Z_\infty(X) = \emptyset$ . Then at least one of the following assertions holds:*

- (1)  $Z(X)$  is a regular (embedded) 1-submanifold.
- (2) There exists a primary singularity of  $X$ .

*Proof.* Assume the non-existence of primary singularities.

Consider any  $p \in Z(X)$  and a vector field  $Y$  defined around  $p$  with  $Y(p) \neq 0$  that tracks  $X$ . Let  $U$  be a second vector field about  $p$  as in Lemma 2.1 such that  $U(p), Y(p)$  are linearly independent. Then there exist coordinates  $(x_1, x_2)$ , about  $p \equiv 0$ , whose domain  $D$  can be identified to a product of two open intervals  $J_1 \times J_2$  such that  $Y = \partial/\partial x_1$  and  $U = \partial/\partial x_2 + x_1 V$ .

The same reasoning as in the proof of Lemma 2.3 allows to suppose that

$$X = x_2^n e^\varphi \left( \tilde{h}_1 \frac{\partial}{\partial x_1} + \tilde{h}_2 \frac{\partial}{\partial x_2} \right)$$

with  $\tilde{h}_1^2 + \tilde{h}_2^2 > 0$  everywhere.

Therefore  $Z(X) \cap D$  is given by the equation  $x_2 = 0$ , which implies that  $Z(X)$  is a regular 1-submanifold.  $\square$

**Theorem 2.7.** *Consider a vector field  $X$  on a surface  $M$ . Assume that:*

- (a)  $Z_\infty(X) = \emptyset$ .
- (b) *There is a connected component of  $Z(X)$  that is not included in a single  $Z_n(X)$ .*

*Then there exists a primary singularity of  $X$ .*

*Proof.* Assume there is no primary singularity. By Proposition 2.6,  $Z(X)$  is a regular 1-submanifold of  $M$ . By hypothesis there are a connected component  $C$  of  $Z(X)$  and two different natural numbers  $m$  and  $n$  such that  $C$  meets  $Z_m(X)$  and  $Z_n(X)$ .

As  $C$  is a regular 1-submanifold, Proposition 2.2 and Lemma 2.3 imply that each  $C \cap Z_r(X)$ ,  $r \in \mathbb{N}$ , is open in  $C$ . Therefore  $C$  is a disjoint union of a family of non-empty open sets with two or more elements hence not connected, contradiction.  $\square$

**2.1. Proof of Theorem 1.1.** It consists of three steps.

**1.** Assume that there is no primary singularity in  $K$ . From Proposition 2.6 applied to an isolating open set it follows that  $K$  is a compact 1-submanifold. Notice that at least one of its connected component is an essential block. Therefore one may suppose that  $K$  is diffeomorphic to  $S^1$  and, by shrinking  $M$ , that  $Z(X) = K$ .

Consider a Riemannian metric  $g$  on  $M$ . Given  $p \in K$  by reasoning as before one can find coordinates  $(x_1, x_2)$  such that  $p \equiv 0$  and

$$X = x_2^n e^\varphi \left( \tilde{h}_1 \frac{\partial}{\partial x_1} + \tilde{h}_2 \frac{\partial}{\partial x_2} \right)$$

where  $\tilde{h}_1 \partial/\partial x_1 + \tilde{h}_2 \partial/\partial x_2$  has no zero. Therefore around  $p$  there exists an 1-dimensional vector subbundle  $\mathcal{E}$  of the tangent bundle that is orthogonal to  $X$ . Such a vector subbundle is unique because clearly it exists and is

unique outside  $K$ . Thus, gluing together the local constructions gives rise to an 1-dimensional vector subbundle  $\mathcal{E}$  of  $TM$  that is orthogonal to  $X$ .

**2.** If  $\mathcal{E}$  is trivial there exists a nowhere singular vector field  $V$  such that  $g(V, X) = 0$ . Let  $\varphi: M \rightarrow \mathbb{R}$  be a function with a sufficiently narrow compact support such that  $\varphi(K) = 1$ . Set  $X_\delta := X + \delta\varphi V$ ,  $\delta > 0$ . Then  $X_\delta$  approaches  $X$  as much as desired and  $Z(X_\delta) = \emptyset$ , so  $K$  is an inessential block.

**3.** Now assume that  $\mathcal{E}$  is not trivial. There always exists a 2-folding covering space  $\pi: M' \rightarrow M$  such that the pull-back  $\mathcal{E}' \subset TM'$  of the vector subbundle  $\mathcal{E}$  is trivial.

Consider the vector field  $X'$  on  $M'$  defined by  $\pi_*(X') = X$ . Then  $Z(X') = \pi^{-1}(K)$  and  $X'$  is nowhere flat. Moreover  $\mathcal{E}'$  is orthogonal to  $X'$  with respect to the pull-back of  $g$ . Now the same reasoning as in the foregoing step shows that  $i_{Z(X')}(X') = 0$ . But clearly  $i_{Z(X')}(X') = 2i_K(X)$  and hence  $K$  is inessential.

### 3. EXAMPLES

**Example 3.1.** In this example one shows two facts. First, primary singularities can exist even if the index of  $X$  is not definable. Second, being nowhere flat is a weaker hypothesis than being analytic.

Consider a proper closed subset  $C$  of  $\mathbb{R}$  and a function  $\varphi: \mathbb{R} \rightarrow \mathbb{R}$  such that  $\varphi^{-1}(0) = C$ . Set  $X := x_1^2 \partial/\partial x_1 + x_1 \varphi(x_2) \partial/\partial x_2$ . Then  $Z(X) = \{0\} \times \mathbb{R}$ ,  $Z_1(X) = \{0\} \times (\mathbb{R} \setminus C)$ ,  $Z_2(X) = \{0\} \times C$  and  $Z_n(X) = \emptyset$  for  $n \neq 1, 2$ , so  $X$  is nowhere flat. By Theorem 2.7 the vector field  $X$  has primary singularities.

More exactly the set  $S_a$  of primary singularities of  $X$  equals  $\{0\} \times (C \setminus \overset{\circ}{C})$ . Indeed:

- (1)  $\varphi(x_2) \partial/\partial x_2$  tracks  $X$  and does not vanish on  $\{0\} \times (\mathbb{R} \setminus C)$ .
- (2)  $\partial/\partial x_2$  tracks  $X$  on  $\mathbb{R} \times \overset{\circ}{C}$ .

Therefore  $S_a \subset \{0\} \times (C \setminus \overset{\circ}{C})$ .

Take  $p = (0, c) \in \{0\} \times (C \setminus \overset{\circ}{C})$ . Assume the existence around this point of a vector field  $Y$  with  $Y(p) \neq 0$  that tracks  $X$ . Then from Proposition 2.2 and Lemma 2.3 it follows the existence of  $\varepsilon > 0$  such that the order of  $X$  at every point of  $\{0\} \times (c - \varepsilon, c + \varepsilon)$  is constant and hence  $c$  belongs to the interior of  $\mathbb{R} \setminus C$  or to that of  $C$ . Therefore  $c \notin C \setminus \overset{\circ}{C}$  contradiction.

In short, each element of  $\{0\} \times (C \setminus \overset{\circ}{C})$  is a primary singularity and  $S_a = \{0\} \times (C \setminus \overset{\circ}{C})$ .

Finally observe that if  $C$  is a Cantor set, then  $X$  is not analytic for any analytic structure on  $\mathbb{R}^2$  since  $Z_2(X) = \{0\} \times C$  is never an analytic set.

**Example 3.2.** In this example one gives a vector field on  $S^2$ , which is analytic so with no flat points, whose zero set is a circle just with two primary singularities.

The sphere  $S^2$  can be regarded as the leaves space of the 1-dimensional foliation on  $\mathbb{R}^3 \setminus \{0\}$  associated to the vector field  $V = \sum_{k=1}^3 x_k \partial / \partial x_k$ , while the canonical projection  $\pi: \mathbb{R}^3 \setminus \{0\} \rightarrow S^2$  is given by  $\pi(x) = x / \|x\|$ .

Every linear vector field  $U'$  commutes with  $V$  and can be projected by  $\pi$  on a vector field  $U$  on  $S^2$ . Moreover  $U(a) = 0$ , where  $a = (a_1, a_2, a_3) \in S^2$ , if and only if  $a$  is an eigenvector of  $U'$  regarded as an endomorphism of  $\mathbb{R}^3$ , that is to say if and only if

$$\left[ \sum_{k=1}^3 a_k \frac{\partial}{\partial x_k}, U' \right] = \lambda \sum_{k=1}^3 a_k \frac{\partial}{\partial x_k}$$

for some scalar  $\lambda$ .

Set  $X: = \pi_*(x_1 \partial / \partial x_2)$ . Then  $Z(X) = \{x \in S^2: x_1 = 0\}$  is an essential block of index two since  $\chi(S^2) = 2$ . By Corollary 1.2 the set  $S_a$  of primary singularities of  $X$  is not empty.

For determining it consider the vector field  $Y: = \pi_*(x_3 \partial / \partial x_2)$ . Then  $[X, Y] = 0$  because  $[x_1 \partial / \partial x_2, x_3 \partial / \partial x_2] = 0$ . Moreover  $Z(Y) = \{x \in S^2: x_3 = 0\}$ .

As  $Y$  tracks  $X$ , the vector field  $Y$  is tangent to  $Z(X)$ . On the other hand  $Z(X) \cap Z(Y) = \{(0, 1, 0), (0, -1, 0)\}$ , so  $S_a \subset \{(0, 1, 0), (0, -1, 0)\}$ . Since

$F_*X = X$ , where  $F$  is the antipodal map, one has  $F(S_a) = S_a$  and hence  $S_a = \{(0, 1, 0), (0, -1, 0)\}$ .

**Example 3.3.** Let  $M$  be a connected compact surface of non-vanishing Euler characteristic. As it is well known, on  $M$  there always exist two vector fields  $X, Y$  with no common zero such that  $[Y, X] = X$  (Lima [9], Plante [10]; see [1, 12] as well). Therefore there is no primary singularity of  $X$ , *but there always exists a periodic regular trajectory of  $Y$  included in  $Z_\infty(X)$ .*

Indeed, by Corollary 1.2 and Proposition 2.2 the set  $Z_\infty(X)$  is non-empty and  $Y$ -invariant. Since  $Z_\infty(X)$  is compact, there always exists a minimal set  $S \subset Z_\infty(X)$  of (the action of)  $Y$ .

As  $Z(X) \cap Z(Y) = \emptyset$ , a generalization of the Poincaré-Bendixson theorem [11] implies that  $S$  is homeomorphic to a circle. In other words, there exists a non-trivial periodic trajectory of  $Y$  consisting of flat points of  $X$ .

More generally, given a vector field  $\widehat{X}$  on  $M$  let  $\mathcal{A}$  be the real vector space of those vector fields on  $M$  that track  $\widehat{X}$ . Assume that  $Z(\widehat{X}) \neq M$  and  $Z(\widehat{X}) \cap (\bigcap_{V \in \mathcal{A}} Z(V)) = \emptyset$ . Then by Corollary 1.2 the compact set  $Z_\infty(\widehat{X})$  is not empty and contains a minimal set  $\widehat{S}$  of  $\mathcal{A}$  (more exactly of the group of diffeomorphisms of  $M$  spanned by the flows of the elements of  $\mathcal{A}$ ).

Clearly  $\widehat{S}$  is not a point. A second generalization of the Poincaré-Bendixson theorem [7] shows that  $\widehat{S}$  is homeomorphic to a circle.

Even more, in our case  $\widehat{S}$  is a regular 1-submanifold and hence diffeomorphic to a circle. Let us see it. Take  $p \in \widehat{S}$ ; then there is  $V \in \mathcal{A}$  with  $V(p) \neq 0$ . Consider coordinates  $(x_1, x_2)$  around  $p \equiv 0$  whose domain  $D$  is identified in the natural way to a product  $(-\varepsilon, \varepsilon) \times (-\varepsilon, \varepsilon)$  such that  $V = \partial/\partial x_1$ .

Let  $\gamma: (-\delta, \delta) \rightarrow M$  be an integral curve of  $V$  with initial condition  $\gamma(0) = p$ . Then  $\gamma(-\delta, \delta) \subset \widehat{S}$ . Moreover, if  $\delta$  is sufficiently small  $\gamma(-\delta, \delta)$  is a relatively open subset of  $\widehat{S}$ . Indeed,  $\gamma: (-\delta, \delta) \rightarrow \widehat{S}$  will be injective so open because  $\widehat{S}$  is a 1-dimensional topological manifold (actually  $S^1$ ). Now by shrinking  $D$  and  $(-\delta, \delta)$  if necessary, we may suppose that  $\gamma(-\delta, \delta) \subset D$ ,  $\delta = \varepsilon$  and  $\gamma(t) = (t, 0)$ . Thus  $(-\varepsilon, \varepsilon) \times \{0\} = \gamma(-\delta, \delta)$  is relatively open in  $\widehat{S}$ .

and there exists an open set  $E$  of  $M$  such that  $E \cap \widehat{S} = (-\varepsilon, \varepsilon) \times \{0\}$ . Hence  $\widehat{S} \cap (D \cap E)$  is defined by the equation  $x_2 = 0$  in the system of coordinates  $(D \cap E, (x_1, x_2))$ .

**3.1. An example from the blowup process.** In this subsection one constructs a homogeneous polynomial vector field on  $\mathbb{R}^2$  whose trajectories but a finite number, let us call them *exceptional*, have the origin both as  $\alpha$  and  $\beta$ -limit. Then by blowing up the origin one obtains a new vector field on a Moebius band whose number of primary singularities equals half that of exceptional trajectories of the first vector field.

Thus a global property on the trajectories of a vector field becomes a semi-local property on the primary singularities of another vector field.

First some technical facts. Denote by  $\widetilde{\mathbb{R}^2}$  the surface obtained by blowing up the origin of  $\mathbb{R}^2$  and by  $\tilde{p}: \widetilde{\mathbb{R}^2} \rightarrow \mathbb{R}^2$  the canonical projection. Recall that  $\widetilde{\mathbb{R}^2}$  is a Moebius band. If  $X$  is a vector field on  $\mathbb{R}^2$  that vanishes at the origin, the blowup process gives rise to a vector field  $\widetilde{X}$  on  $\widetilde{\mathbb{R}^2}$  such that  $\tilde{p}_* \widetilde{X} = X$ . When the origin is an isolated singularity of index  $k$  and the order of  $X$  at this point is  $\geq 2$ , then  $\tilde{p}^{-1}(0)$  is a  $\widetilde{X}$ -block of index  $k - 1$ .

Now identify  $\mathbb{C}$  to  $\mathbb{R}^2$  by setting  $z = x_1 + ix_2$ . Then each complex vector field  $z^n \partial / \partial z$ ,  $n \geq 2$ , can be considered as a vector field  $X_n = P_n \partial / \partial x_1 + Q_n \partial / \partial x_2$  on  $\mathbb{R}^2$  where  $z^n = (x_1 + ix_2)^n = P_n(x_1, x_2) + iQ_n(x_1, x_2)$ . Our purpose will be to show that  $Z(\widetilde{X}_n) = \tilde{p}^{-1}(0)$  contains  $n - 1$  primary singularities of  $\widetilde{X}_n$ . (Recall that the origin is a singularity of  $X_n$  of index  $n$  and hence  $\tilde{p}^{-1}(0)$  is a  $\widetilde{X}_n$ -block of index  $n - 1$ .)

**3.1.1.  $\widetilde{\mathbb{R}^2}$  from another point of view.** Consider the map  $\varphi: \mathbb{R} \times S^1 \rightarrow \mathbb{R}^2$  given by  $\varphi(r, \theta) = (r \cos \theta, r \sin \theta)$ . Then  $\varphi: \mathbb{R}_+ \times S^1 \rightarrow \mathbb{R}^2 \setminus \{0\}$  and  $\varphi: \mathbb{R}_- \times S^1 \rightarrow \mathbb{R}^2 \setminus \{0\}$  are diffeomorphisms, and  $\varphi(r, \theta) = \varphi(r', \theta')$  with  $(r, \theta), (r', \theta') \in (\mathbb{R} \setminus \{0\}) \times S^1$  if and only if  $(r, \theta) = (r', \theta')$  or  $(r', \theta') = (-r, \theta + \pi)$ .

Let  $\sim$  be the equivalence relation on  $\mathbb{R} \times S^1$  defined by  $(r, \theta) \sim (r', \theta')$  if and only if  $(r, \theta) = (r', \theta')$  or  $(r', \theta') = (-r, \theta + \pi)$ . Then the quotient space  $M_s := (\mathbb{R} \times S^1) / \sim$  is a Moebius strip and the canonical projection  $p: \mathbb{R} \times S^1 \rightarrow M_s$  is a (differentiable) covering space with two folds. Moreover the map  $\bar{\varphi}: M_s \rightarrow \mathbb{R}^2$  given by  $\bar{\varphi}(p(r, \theta)) = \varphi(r, \theta)$  is well defined and differentiable.

Recall that  $\tilde{p}^{-1}(0) = \mathbb{R}P^1$  is the space of vector lines in  $\mathbb{R}^2$  and  $\tilde{p}: \widetilde{\mathbb{R}^2} \setminus \tilde{p}^{-1}(0) \rightarrow \mathbb{R}^2 \setminus \{0\}$  a diffeomorphism. Now one defines  $\Psi: M_s \rightarrow \widetilde{\mathbb{R}^2}$  as follows:

- (a)  $\Psi(p(r, \theta)) = \tilde{p}^{-1}(\varphi(r, \theta))$  if  $r \neq 0$ ,
- (b)  $\Psi(p(r, \theta))$  equals the vector line of  $\mathbb{R}^2$  spanned by  $(\cos\theta, \sin\theta)$  if  $r = 0$ .

It is easily checked that  $\Psi: M_s \rightarrow \widetilde{\mathbb{R}^2}$  is a diffeomorphism and  $\tilde{p} \circ \Psi = \bar{\varphi}$ . Therefore  $\tilde{p}: \widetilde{\mathbb{R}^2} \rightarrow \mathbb{R}^2$  and  $\bar{\varphi}: M_s \rightarrow \mathbb{R}^2$  can be identified in this way. For sake of simplicity in what follows  $\tilde{p}: \widetilde{\mathbb{R}^2} \rightarrow \mathbb{R}^2$  will be replaced by  $\bar{\varphi}: M_s \rightarrow \mathbb{R}^2$  in our computations. Thus if  $X$  is a vector field on  $\mathbb{R}^2$  that vanishes at the origin, then  $\tilde{X}$  will be the single vector field on  $M_s$  such that  $\bar{\varphi}_* \tilde{X} = X$ .

On the other hand  $X'$  will denote the pull-back by  $p$  of  $\tilde{X}$ . Clearly  $\varphi_* X' = X$ . Moreover with respect to  $X'$  the index of  $\{0\} \times S^1$  and the number of primary singularities included in it are twice those of  $\bar{\varphi}^{-1}(0)$  relative to  $\tilde{X}$ .

As a consequence, in the case of  $X_n$  it will suffice to show that  $Z(X'_n) = \{0\} \times S^1$  contains  $2n - 2$  singularities of  $X'_n$ .

**3.1.2. Computation of the primary singularities of  $X'_n$ .** As  $\varphi: (\mathbb{R} \setminus \{0\}) \times S^1 \rightarrow \mathbb{R}^2 \setminus \{0\}$  is a covering space any vector field on  $\mathbb{R}^2 \setminus \{0\}$  can be lifted up. Denote by  $\partial' / \partial x_k$ ,  $k = 1, 2$ , the lifted vector field of  $\partial / \partial x_k$ . Then

$$\frac{\partial'}{\partial x_1} = \cos\theta \frac{\partial}{\partial r} - r^{-1} \sin\theta \frac{\partial}{\partial \theta} \quad \text{and} \quad \frac{\partial'}{\partial x_2} = \sin\theta \frac{\partial}{\partial r} + r^{-1} \cos\theta \frac{\partial}{\partial \theta}.$$

Since  $(r \cos\theta + i r \sin\theta)^n = r^n \cos(n\theta) + i r^n \sin(n\theta)$  one has  $P_n \circ \varphi = r^n \cos(n\theta)$  and  $Q_n \circ \varphi = r^n \sin(n\theta)$ . Observe that on  $(\mathbb{R} \setminus \{0\}) \times S^1$  the vector field  $X'_n$  is the lifted one of  $X_n$ , so  $X'_n = r^n \cos(n\theta) \partial' / \partial x_1 + r^n \sin(n\theta) \partial' / \partial x_2$ . Finally,

developing the foregoing expression of  $X'_n$  and extending it by continuity to  $\mathbb{R} \times S^1$  yields:

$$X'_n = r^{n-1} \left( r \cos((n-1)\theta) \frac{\partial}{\partial r} + \sin((n-1)\theta) \frac{\partial}{\partial \theta} \right)$$

The vector field  $Y = r \cos((n-1)\theta) \partial/\partial r + \sin((n-1)\theta) \partial/\partial \theta$  tracks  $X'_n$  with tracking function  $(n-1) \cos((n-1)\theta)$ . Therefore the set  $S_a$  of primary singularities of  $X'_n$  is included in  $\{0\} \times T_n$  where  $T_n := \{\theta \in S^1 : \sin((n-1)\theta) = 0\}$ .

On the other hand, the order of  $X'_n$  at the points of  $\{0\} \times (S^1 \setminus T_n)$  is  $n-1$  and strictly greater than  $n-1$  at the points of  $\{0\} \times T_n$ . As  $T_n$  is finite, more exactly it has  $2n-2$  elements, Proposition 2.2 and Lemma 2.3 imply that all the points of  $\{0\} \times T_n$  are primary singularities. In short  $S_a = \{0\} \times T_n$  and hence  $Z(\tilde{X}_n) = \tilde{p}^{-1}(0)$  contains  $n-1$  primary singularities.

3.1.3. *The geometric meaning of the primary singularities of  $\tilde{X}_n$ .* When  $n \geq 2$  the complex flow of  $z^n \partial/\partial z$  is

$$\Phi(z, t) = z \left[ (1-n)t z^{n-1} + 1 \right]^{\frac{1}{1-n}}$$

with initial condition  $\Phi(z, 0) = z$ .

(Fixed  $z \neq 0$  consider as domain of the variable  $t$  the open set  $D_z := \mathbb{C} \setminus R_z$  where  $R_z := \{s(n-1)^{-1} z^{1-n} : s \in [1, \infty)\}$ . Note that  $D_z$  is star shaped with respect to the origin. Since  $D_z$  is simply connected, the initial condition  $\Phi(z, 0) = z$  defines a single continuous and hence holomorphic map  $\Phi(z, \cdot) : D_z \rightarrow \mathbb{C}$ . Thus the apparent ambiguity introduced by the root of order  $n-1$  is eliminated.)

On the other hand considering, in the foregoing expression of  $\Phi$ , real values of  $t$  only and identifying  $z$  with  $(x_1, x_2)$  yield the real flow of  $X_n$ . Therefore given  $(x_1, x_2) \in \mathbb{R}^2 \setminus \{0\}$  if  $z^{n-1} = (x_1 + ix_2)^{n-1}$  is not a real number, its  $X_n$ -trajectory is defined for any  $t \in \mathbb{R}$  and has the origin both as  $\alpha$  and  $\omega$ -limit.



On the contrary when  $z^{n-1} = (x_1 + ix_2)^{n-1}$  is a real number, the  $X_n$ -trajectory of  $(x_1, x_2)$ , as set of points, equals the open half-line spanned by the vector  $(x_1, x_2)$  and hence one of its limits is the origin and the other one the infinity.

It is easily checked that the set of  $(x_1, x_2) \in \mathbb{R}^2$  such that  $(x_1 + ix_2)^{n-1} \in \mathbb{R}$  consists of  $n - 1$  vector lines each of them including two exceptional trajectories. These lines regarded as elements of  $\mathbb{R}P^1 = \tilde{p}^{-1}(0)$  are the primary singularities of  $\tilde{X}_n$ .

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